



Atty. Dkt. No. 038602-1220

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peng-Chu TANG, et al.  
Title: INDOLINONE COMBINATORIAL LIBRARIES AND RELATED PRODUCTS AND METHODS FOR THE TREATMENT OF DISEASE  
Appl. No.: 09/897,755  
Filing Date: July 3, 2001  
Examiner: Unassigned  
Art Unit: 1627

**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on July 20, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Declaration and Power of Attorney (5 pages)
- [ X ] Return Copy of Notice to File Missing Parts
- [ X ] Petition for Extension of Time
- [ X ] Informal Drawings (Figure 1, sheets 1-14 and Figure 2, sheets 1-42) (56 total sheets)
- [ X ] Assignment and Assignment Recordation Cover Sheet (7 pages)

The filing fee is calculated below:

|   | Claims<br>as Filed | Included in<br>Basic Fee | Extra<br>Claims | Rate              | Fee<br>Totals |
|---|--------------------|--------------------------|-----------------|-------------------|---------------|
| Basic Fee   |                    |                          |                 | \$740.00          | \$740.00      |
| Total Claims:   | 11                 | - 20                     | = 0             | x \$18.00         | = \$0.00      |
| Independents:   | 3                  | - 3                      | = 0             | x \$84.00         | = \$0.00      |
| If any Multiple Dependent Claim(s) present:   |                    |                          |                 | + \$280.00        | = \$0.00      |
| Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee |                    |                          |                 | + \$130.00        | = \$130.00    |
|   |                    |                          |                 | SUBTOTAL:         | = \$870.00    |
| [ ] Small Entity Fees Apply (subtract ½ of above):  |                    |                          |                 |                   | = \$0.00      |
|   |                    |                          |                 | TOTAL FILING FEE: | = \$870.00    |
|   |                    |                          |                 | TOTAL FEE         | = \$870.00    |
| Difference to pay:  |                    |                          |                 | - \$0.00          | - \$870.00    |

[ X ] Check in the amount of \$2,350.00 in payment of \$1,440.00 Petition for Extension of Time fee, \$740.00 basic filing fee, \$40.00 Assignment Recordation Fee, \$130.00 surcharge fee (37 C.F.R. § 1.16(e))

[ ] Please charge Deposit Account No. 19-0741 in the amount of \$0.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 21, 2001

By Richard Post 35792

FOLEY & LARDNER  
Customer Number: 22428



22428

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/897,755         | 07/03/2001          | Peng Cho Tang         | 038602-1220            |

CONFIRMATION NO. 1137

## FORMALITIES LETTER



\*OC000000006326093\*

Beth A. Burrous  
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Washington Harbour

3000 K Street, N.W.  
Washington, DC 20007-5109

**ACTION DUE** - Missing Parts  
**CLIENT/MATTER #** 38602/1220

**DUE DATE** - 09/20/2001

**DKTD BY** BW JH

**ATTY** BAB

Date Mailed: 07/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

12/26/2001 BABRAXA1 00000074 09097755

01 73:101  
02 73:102

740.00 OP  
130.00 SF

*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY